

6P. 3732  
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TC 3700 MAIL ROOM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Richard A. Brauckman, et al.

U.S. Serial No.:

09/874,580

Filing Date:

June 5, 2001

For:

Device for Delivering A Radioactive :  
And/Or Drug Dosage Alone Or In :  
Connection With a Vascular Stent :

Group Art Unit:  
3732

Examiner:  
Not Yet Known

158

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R.**  
**§1.97(e)(1)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.56 and 1.97(e), Applicant brings to the Attention of the Examiner the documents listed on the attached Substitute Form PTO 1449 (in duplicate). No fee is believed to be due in connection with this submission. However, if any fee is due, the Commissioner is authorized to charge Deposit Account No. 50-0462. Moreover, please credit any overpayment to Deposit Account No. 50-0462.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I certify that this document, along with any document referred to as being attached, is being deposited with the U.S. Postal Service as first class mail on November 20, 2001, under 37 C.F.R. §1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Dynne Webb  
Name of person signing document

Dynne Webb  
Signature of person signing document

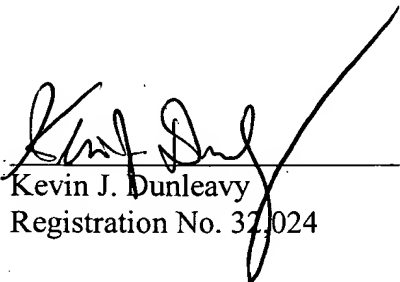
**Certification Under 37 CFR 1.97(e)(1)**

The references cited in this Information Disclosure Statement were cited in the International Search Report dated September 6, 2001 from the International Searching Authority in the corresponding international application (PCT/US01/18249). This Information Disclosure Statement is being filed within three months from the International Search Report.

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached Substitute PTO 1449 form.

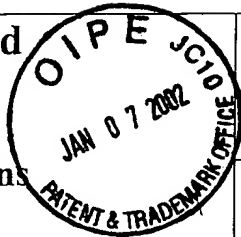
This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art". If the Examiner applies any of the documents as prior art against any claims in the applicant and Applicant determined that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Patent Office the relevant facts and law regarding the appropriate status of such documents. Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Respectfully submitted,

  
\_\_\_\_\_  
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**FORM PTO-1449 Modified**



Sheet 1 of 1

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TC 3700 MAIL ROOM

**List of Patents and Publications  
Cited by Applicant**  
(Use several sheets if necessary)

**U.S. Department of Commerce  
Patent and Trademark Office**

Docket No.  
TGXX-1003US

Serial No.  
09/874,580

Applicant  
Brauckman, et al.

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Group  
3732

**U.S. Patent Documents**

| Examiner Initial |    | Document No. | Date          | Name             | Class    | Subclass |
|------------------|----|--------------|---------------|------------------|----------|----------|
|                  | AA | 6,261,320    | Jul. 17, 2001 | Tam, et al.      | 623/1.15 | 623/1.4  |
|                  | AB | 5,176,617    | Jan. 5, 1993  | Fischell, et al. | 600/3    | 600/12   |
|                  | AC |              |               |                  |          |          |
|                  | AD |              |               |                  |          |          |
|                  | AE |              |               |                  |          |          |
|                  | AF |              |               |                  |          |          |
|                  | AG |              |               |                  |          |          |

**Foreign Patent Documents**

| Examiner Initial |  | Document No. | Date | Country | Translation YES | NO |
|------------------|--|--------------|------|---------|-----------------|----|
|                  |  |              |      |         |                 |    |
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**Other Documents (Including Author, Title, Date, Pertinent Pages, Etc.)**

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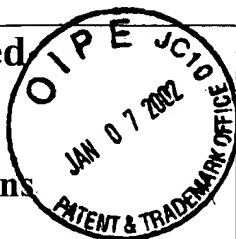
**EXAMINER**

**DATE CONSIDERED**

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|                  | AF |              |               |                  |          |          |
|                  | AG |              |               |                  |          |          |

## Foreign Patent Documents

| Examiner Initial |  | Document No. | Date | Country | Translation YES | NO |
|------------------|--|--------------|------|---------|-----------------|----|
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## Other Documents (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

Sarah Webb

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9/23/02